Guidance for implementing the Potential Fossil Yield Classification (PFYC) System

Introduction

The PFYC system will aid in assessing the potential to discover or impact significant paleontological resources. It is intended to assist in determining proper mitigation approaches for surface disturbing activities, disposal or acquisition actions, recreation possibilities or limitations, and other BLM-approved activities. It will provide consistent information for input and analysis during planning efforts. The PFYC system can also highlight the areas most likely to be a focus of paleontological research efforts or illegal collecting. It is hoped that this system will allow BLM to direct management efforts toward potentially significant areas and reduce efforts in areas of lower potential.

This PFYC system was originally developed by the Forest Service's Paleontology Center of Excellence and the Region 2 Paleontology Initiative in 1996. Modifications were made by the BLM's Paleontological Resources staff in subsequent years.

Paleontological resources are closely associated with the geologic rock units containing them; that is, fossils are found more frequently in some rock units than others. The management of paleontological resources can thus be tied to the geologic units present at or near the ground surface, with greater management emphasis aimed at higher potential geologic units.

Uses

This PFYC system is utilized for land use planning efforts and for the preliminary assessment of potential impacts and proper mitigation needs for specific projects. It is intended to provide a tool to assess potential occurrences of significant paleontological resources. It is meant to be applied in broad approach for planning efforts, and as an intermediate step in evaluating specific projects.

There are five Classes with Class 1 being Very Low Potential and Class 5 being Very High Potential. Although granite, lava beds, and other igneous or metamorphic rock types are usually considered to be void of any fossils, outcrops of these rocks may have fissure fillings, cave-like structures, sinkholes, and other features that may preserve significant paleontological resources or information, so the potential is not zero; therefore Class 1 is applied to these rock types usually considered not to contain fossil resources.

It is intended that this system replace the current Condition Classification in the Handbook (H-8270-1), for Paleontological Resource Management. In general, the following is a comparison of the Condition Classification rankings to the new PFYC Classes:

Condition (from H-8270-1)	PFYC Class (this Instruction Memorandum)
Condition 1 – Areas known to contain vertebrate fossils or noteworthy occurrences of invertebrate or plant fossils. (Note: this refers to known localities or groups of localities)	PFYC Class 4 (High) or Class 5 (Very High), based on geologic unit.
Condition 2 – Areas with exposures of geological units or settings that have high potential to contain vertebrate fossils or noteworthy occurrences of invertebrate or plant fossils.	PFYC Class 3 (Moderate), Class 4 (High), or Class 5 (Very High), based on geologic unit.
Condition 3 – Areas that are very unlikely to produce vertebrate fossils or noteworthy occurrences of invertebrate or plant fossils.	PFYC Class 1 (Very Low) or Class 2 (Low).

Assignment of Classes

A separate class ranking is assigned to each recognized geologic formation or member present at the surface. Deposits of young alluvium (post-Pleistocene) or thick soils can often be ignored. However, geologic mapping may not separate the older Pleistocene alluvium which, may contain significant vertebrate fossils, and thus these units need to be carefully considered. Available geologic mapping, depending on map scale, may combine multiple formations or units. In these cases, the assigned classification should use the highest class of those included units. For ease of application, the classifications should be integrated into a Geographic Information System (GIS) based geologic map.

The classification is initially determined by the Regional Paleontologist; the State Office Paleontology Lead in collaboration with the Regional Paleontologist; or by knowledgeable individuals from a paleontology museum, university paleontology department, or consulting firm working under a formal agreement. Several States have already completed an initial classification and are incorporating the system into new planning and mitigation efforts.

To maintain consistency in planning efforts, mitigation requirements, and other management approaches, the classification should be applied to each formation on a state-wide basis, and even across State boundaries. But in some situations, geologic characteristics within formations may change across the State or region and may alter the potential for fossil occurrence. These differences may be a characteristic of the formation, be variable in occurrence, and unmappable at a workable scale; or may indicate a regional gradient, where a formation is highly fossiliferous in one portion of the State, but has lowered potential in another area. A variable occurrence in potential may be included in the general information about the formation. A regional gradient can be addressed by assigning a different class for separate areas. Multiple class assignments for an individual formation should be applied in consultation with the State Office to maintain consistency across Field Office boundaries.

Over time, additional information may be acquired or developed that may suggest that a change in the class assignment is appropriate, especially from the Unknown Class (3b) to a higher or lower class. The classification should reflect the most current information, and recent research or discoveries may indicate a change is warranted. However, any changes should be measured against existing applications or use of the current classification, such as usage in Resource Management Plans (RMPs) or other planning or management documents.

Application

In planning documents and other general applications, these classes allow for uniform discussion of the paleontologic resource, potential adverse impacts, and management approaches. Assessment of general conditions, such as acres or percentages of each class, or spatial identification of important areas can be determined and presented in simple manner. Identification of areas of potential concern with other resources can be identified using GIS mapping or explained in the text body in simple fashion.

The PFYC classes may also be utilized to assess the possibility of adverse or beneficial impacts from land tenure adjustment (disposal or acquisition) proposals prior to on-the-ground surveys.

A primary purpose of the PFYC is to assess the possible impacts from surface disturbing activities and help determine the need for pre-disturbance surveys and monitoring during construction. This assessment should be an intermediate step in the analysis process; and local conditions such as amount of exposed bedrock should be considered when final mitigation needs are determined. The determination should also be supplemented by occurrences of known fossil localities and local geologic and topographic knowledge.

Mitigation Needs Assessment

Impacts of most surface-disturbing activities, and the need for mitigation efforts, are addressed by the local Field Office. Some larger actions, such as major pipeline projects, may be handled by the State Office, or even as multi-State projects. In all these cases, the assessment of impacts to paleontological resources and need for mitigation can be addressed in similar fashion through a progression of steps. The following outlines the general steps used to apply the PFYC system to this mitigation process.

1. **Identify the proposed action and affected area.** Consider the area directly impacted by the action, as well as areas that may be impacted by vehicle drive ways, equipment parking, storage areas, and increased access. Also consider the depth of disturbance to determine possible subsurface impacts.

2. **Identify the potential impacts to paleontological resources.** Determine the geologic units that may be impacted and the associated PFYC classes, and consult other sources of information about known localities or paleontological research that may have been done previously.

Based on the PFYC class and any additional resource information, determine the probability of impacting significant paleontological resources. If known localities are in the area of possible impact, determine if those localities can be avoided by altering the proposed action, such as repositioning a well pad location or rerouting a pipeline around a locality.

3. Determine the need for field survey or other mitigation efforts. On-the-ground field surveys, on-site monitoring, spot-checking at key times during construction, or locality avoidance are all possible mitigation approaches to lessen adverse impacts.

- If the PFYC class for the impacted area is Class 1 or 2, and there are no known localities within the area, no further assessment is typically needed.
- If a Class 3a (Moderate Potential) unit underlies the area, the local geologic conditions should be considered, as well as any known localities in the region. It may be necessary to consult with the Regional Paleontologist or other qualified paleontologist to assess the local conditions.
- If a Class 3b (Unknown Potential) unit underlies the area, it may be appropriate to require an on-site preliminary assessment by a qualified paleontologist.
- If the area is a Class 4b (buried bedrock with High Potential) or Class 5b (buried bedrock with Very High Potential), an assessment of the possible impacts to bedrock units must be made. If the proposed action will not penetrate the protective soil or alluvial layer, a pre-work survey or monitoring during the activity may not be necessary. If the potential exists to remove the protective layer and impact the bedrock unit below, it may be prudent to require a pre-work field survey and/or on-site monitoring during disturbance or spot-checks at key times. Because the bedrock unit is typically buried for much of the area in question, a pre-work survey may not always be necessary, as the fossil material may not be visible. However, it may then be more important to have an on-site monitor during disturbance or spot-checks at key times.
- If it is a Class 4a (exposed bedrock with High Potential) or Class 5a (exposed bedrock with Very High Potential) area, it will be necessary in most (Class 4a) or almost all (Class 5a) situations to require a pre-activity field survey of the areas directly and indirectly impacted.

Larger projects may impact multiple geologic units with differing PFYC Classes. In those cases, field survey and monitoring may be applied at differing levels. For example, surveys may be appropriate only on the Class 4 and 5 formations and not the Class 2 formations along a pipeline project. Careful mapping and detailed field notes should reflect the differing survey/monitoring intensities, and should be included in the consultant's report to BLM.

4. Conduct Pre-work Field Survey. Field surveys are almost always needed for Class 4 and 5 units, especially exposed bedrock areas (Class 4a and 5a). Class 3 units may or may not require a survey. Local conditions, such as vegetated areas or pockets of bedrock exposure, may affect the need and intensity of field surveys. The consultant is required to submit a report of findings after completion of the field survey. In addition to standard reporting information, the report should contain the consultants' recommendations for further mitigation, and this recommendation should be considered when determining the need for and type of on-site monitoring or locality avoidance.

5. Monitor during disturbance activities. Those areas that have been determined to have a Very High potential (Class 5) for adverse impacts should typically be monitored at all times when surface-disturbing activities are occurring. If the area has a High potential (Class 4), it may be appropriate to examine the exposed unit, including the spoil or storage piles, only at key times. These times are dependent on the activity, but typically are: when bedrock is initially exposed, occasionally during active excavation, and when the maximum exposure is reached and before backfilling has begun. This monitoring and spot-checking must be performed by a permitted paleontologist or their BLM-approved representative. The paleontologist has the authority to require a halt in activity at the location while a suspected find is evaluated and reported if necessary, although these stoppages should be kept to a minimum.

These steps are included here to provide general guidance, and it may be appropriate to modify or skip them for various situations. However, a brief discussion of the background and reason for modification should be placed in the project file.

For all surface-disturbing activities occurring within Class 3 or higher units, a stipulation should be included in the permitting document. This stipulation should require the proponent or any of his agents to: (a) stop work immediately at that site if significant fossil resources are discovered; (b) contact the appropriate BLM representative, typically the project inspector, as soon as possible; and (c) make every effort to protect the site from further impacts, including looting, erosion, or other human or natural damage. Work may not resume at that location until approved by the official BLM representative. In some cases, this may delay further activity at that site until the discovered fossils are recovered, or until the project is modified to avoid impacting the find. Because of the potential for lengthy delays, the BLM should assure that the project proponent understands this possibility prior to approval to begin work.

Further Information

Detailed information on the geologic units and paleontological resources within a State can often be obtained from State geological surveys, geological or paleontological museums, geology departments at universities or colleges, paleontological permittees or other researchers or within the BLM from Regional Paleontologists or knowledgeable Geologists.

Scientific publications, such as professional journals or State geological survey reports, often contain general and detailed information about paleontological and geological resources relevant to fossil potential and occurrences for specific areas. Current and past paleontological permittee reports usually include precise locality data and maps, and often contain discussions of findings and their significance.